PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Prior Group Art Unit: 2653

Yasuhito SOMA et al. Prior Examiner: Paul W. Huber

Serial No.: Rule 1.53(b) Divisional of Serial No. 10/238,587 filed September 11, 2002

Filed: November 5, 2003

For: OPTICAL DISK APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The listed references were cited during prosecution of patent applications Serial Nos. 10/238,587 filed September 11, 2002 and 09/492,269 filed January 27, 2000, and copies of each reference may be found in the USPTO file for these applications.

The above information is presented so that the U.S. Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. \$1.104(a) and \$1.106(b) concerning the USPTO duty to consider and

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use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,
PARKHURST & WENDEL, L.L.P.

November 5, 2003
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Attorney Docket No.: HYAE:095B

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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATEINT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)					SERIAL R53b Div	. NO. iv of SN 10/238,587		
			APPLICANT Yasuhito SOMA et al.					
			FILING DATE November 5, 2003			GROUP 2653		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS		SUBCLASS	FILING DATE IF APPROPRIATE	
	4,858,216	08/15/1989	Kamiya	369		46	05/1987	
	6,044,050	03/28/2000	Kuroiwa	369		44.41	09/1997	
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS		SUBCLASS	TRANSLATION NO YES	
	2-203429	08/1990	JAPAN					ABSTRT
	10-112043	04/1998	JAPAN					ABSTRT
	9-223320	08/1997	JAPAN					ABSTRT
	60-236125	11/1985	JAPAN					ABSTRT
	5-39020	11/1985	JAPAN				×	
	3-144928	08/199●	JAPAN					ABSTRT
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
17								
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EXAMINER: DATE CONSIDERED:								

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.